


FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER A36369-PCT-USA (074224.0143)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/509404</b> To be assigned
INTERNATIONAL APPLICATION NO. PCT/JP03/03891	INTERNATIONAL FILING DATE 27 March 2003	PRIORITY DATE CLAIMED 27 March 2002	
TITLE OF INVENTION    METHOD OF AUSTENITIC STAINLESS STEEL THIN STRIP CASTING			
APPLICANT(S) FOR DO/EO/US    MIYAZAKI, Masafumi; INOUE, Shuichi; NISHIMURA, Toshikazu; and INOUE, Hiroshige			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>    a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>    b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>    c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>    a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>    b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>    a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>    b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</p> <p>    c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>    d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unexecuted).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input checked="" type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Comparison Document, International Search report, Executed Combined Declaration and Power of Attorney, International Preliminary Examination Report.</p>			

U.S. APPLICATION NO. (G/K/P/W/S, 37 CFR 1.53) <b>10/509404</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JPO3/03891</b>		ATTORNEY'S DOCKET NUMBER <b>A36369-PCT-USA (074224.01)</b>																															
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1040.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>																															
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<b>\$ 890</b>																															
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 15%;">NUMBER FILED</th> <th style="width: 15%;">NUMBER EXTRA</th> <th style="width: 15%;">RATE</th> <th style="width: 15%;">\$</th> <th style="width: 15%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>12 - 20 =</td> <td>0</td> <td>x <b>\$18.00</b></td> <td><b>\$ 0</b></td> <td></td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>0</td> <td>x <b>\$84.00</b></td> <td><b>\$ 0</b></td> <td></td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ <b>\$280.00</b></td> <td><b>\$ 0</b></td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$ 890</b></td> <td></td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		Total claims	12 - 20 =	0	x <b>\$18.00</b>	<b>\$ 0</b>		Independent claims	2 - 3 =	0	x <b>\$84.00</b>	<b>\$ 0</b>		MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ <b>\$280.00</b>	<b>\$ 0</b>		<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 890</b>			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$																															
Total claims	12 - 20 =	0	x <b>\$18.00</b>	<b>\$ 0</b>																															
Independent claims	2 - 3 =	0	x <b>\$84.00</b>	<b>\$ 0</b>																															
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ <b>\$280.00</b>	<b>\$ 0</b>																															
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 890</b>																															
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<b>\$</b>																															
<b>SUBTOTAL =</b>				<b>\$ 890</b>																															
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$ 0</b>																															
<b>TOTAL NATIONAL FEE =</b>				<b>\$</b>																															
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				<b>\$ 40</b>																															
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 930</b>																															
				<b>Amount to be refunded:</b>	<b>\$</b>																														
				<b>charged:</b>	<b>\$</b>																														
a. <input checked="" type="checkbox"/> A check in the amount of <b>\$ 930</b> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>02-4377</b> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																			
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.																																			
SEND ALL CORRESPONDENCE TO: Gary M. Butter BAKER BOTTS LLP 30 Rockefeller Plaza New York, NY 10112-4498																																			
				 SIGNATURE																															
				Gary M. Butter NAME																															
				33,841 REGISTRATION NUMBER																															

Akira Aoki  
T.Ishida  
K.Uetani\*  
Atsushi Aoki  
J.Tsuruta  
T.Yoshida  
T.Fukumoto  
M.Nishiyama  
T.Katsube  
S.Higuchi  
T.Koga  
T.Shimada  
Consultant  
S.Ui\*

\*attorney at Law

S.Tsuchiya  
K.Takeuchi  
A.Hino  
T.Shimomichi  
M.Shinozaki  
T.Nagasaka  
H.Tajima  
Y.Kurachi  
H.Kamematsu  
M.Mizuno  
Y.Kobayashi  
K.Itsubo  
T.Hara  
K.Nakamura  
Y.Watanabe  
Y.Mizutani

# A. AOKI, ISHIDA & ASSOCIATES

## PATENTS, TRADEMARKS AND LAW

TORANOMON 37 MORI BLDG.  
3-5-1, TORANOMON, MINATO-KU  
TOKYO 105-8423, JAPAN

FACSIMILE: 81-3-5470-1911  
81-3-5402-5018(G4)

TELEPHONE: 81-3-5470-1900

Your Ref.: -

Our Ref.: M760-PCT

K.Imaeda	advisor
K.Kikuchi	K.Nishitate
S.Hirose	M.Matsushita
K.Yoshii	K.Nakayama
C.Minamiyama	M.Iwade
G.Tazaki	K.Hiraiwa
N.Togawa	S.Miyata
S.Sasamoto*	S.Tsujimoto
K.Yamaguchi*	H.Sugiyama
T.Kobayashi	Y.Terada
S.Mitsuhashi	S.Kuwakado
N.Sekine	
R.Sakurai	
N.Takahashi	
T.Osakabe	

August 11, 2003

The International Bureau of WIPO  
PCT Division  
34 Chemin des Colombettes  
1211 GENEVA 20  
SWITZERLAND

Via Facsimile	
Total No. of Pages	5


Re: Amendment of the claims under PCT Article 19(1)  
International Application No. PCT/JP03/03891  
International Filing Date: March 27, 2003  
Applicant: NIPPON STEEL CORPORATION  
Agents: Ishida, Takashi et al.  
Agent's file reference: M760-PCT

Dear Sirs:

Enclosed please find replacement sheet for the claim to be amended under Article 19 of the PCT, and a paper which contains a description drawing attention to the difference between the replaced sheet and the replacement sheet, in accordance with Rule 46.5(a), of the PCT.

For your information, the international search report with regard to the present application was dispatched to us on June 17, 2003.

Very truly yours,

  
Takashi Ishida  
Senior Partner

A. AOKI, ISHIDA & ASSOCIATES

KE/fd	
Encls.:	Document 1 sheet
	Replacement sheet 2 sheets
	Brief Statement 1 sheet

August 11, 2003

TO THE INTERNATIONAL BUREAU OF THE WORLD  
INTELLECTUAL PROPERTY ORGANIZATION  
34, Chemin des Colombettes  
1211 Geneva 20, Switzerland

ART. 19 (1) AMENDMENT

International Application No. PCT/JP03/03891

(Our Ref.: M760-PCT)

Agent

(Name) Ishida Takashi

(氏名) 弁理士 (7751) 石田 敬

(Address) A. AOKI, ISHIDA & ASSOCIATES

Toranomon 37 Mori Bldg., 5-1, Toranomon  
3-Chome, Ninato-ku, Tokyo 105-8423 Japan



The applicant requests amendment of the claim of the present international application, based on Article 19(1), of PCT, and transmit herewith newly amended claim. We believe the amendment do not go beyond the disclosure in the original international application. An outline of the amendment is as follows.

- (a) Original Claims 1, 2 and 8 are canceled.
- (b) Original Claims 3, 4, 5, 6 and 7 are amended.